

Systematic assessment of long-read RNA-seq methods for transcript identification and quantification

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2 identification and quantification

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37 Abstract

38 With increased usage of long-read sequencing technologies to perform transcriptome analyses, 39 there becomes a greater need to evaluate different methodologies including library preparation, 40 sequencing platform, and computational analysis tools. Here, we report the study design of a 41 community effort called the Long-read RNA-Seq Genome Annotation Assessment Project 42 (LRGASP) Consortium, whose goals are characterizing the strengths and remaining challenges 43 in using long-read approaches to identify and quantify the transcriptomes of both model and 44 non-model organisms. The LRGASP organizers have generated cDNA and direct RNA datasets 45 in human, mouse, and manatee samples using different protocols followed by sequencing on 46 Illumina, Pacific Biosciences, and Oxford Nanopore Technologies platforms. Participants will 47 use the provided data to submit predictions for three challenges: transcript isoform detection 48 with a high-quality genome, transcript isoform quantification, and *de novo* transcript isoform 49 identification. Evaluators from different institutions will determine which pipelines have the 50 highest accuracy for a variety of metrics using benchmarks that include spike-in synthetic 51 transcripts, simulated data, and a set of undisclosed, manually curated transcripts by 52 GENCODE. We also describe plans for experimental validation of predictions that are platform-53 specific and computational tool-specific. We believe that a community effort to evaluate long-54 read RNA-seq methods will help move the field toward a better consensus on the best 55 approaches to use for transcriptome analyses. 56

57 Introduction

- 58 There is a growing trend of using long-read RNA-seq (IrRNA-seq) data for transcript
- 59 identification and quantification, primarily with Oxford Nanopore Technologies (ONT) and Pacific
- 60 Biosciences (PacBio) platforms^{1–4}. Consequently, there is a need to evaluate these approaches
- 61 for transcriptome analysis to compare the impact of different sequencing platforms, multiple
- 62 sequencing library preparation methods, and computational analysis methods (Reviewed in $^{5-8}$).
- 63
- 64 A previous effort by the RNA-Seq Genome Annotation Assessment Project (RGASP)
- 65 Consortium^{9,10} involved evaluating short-read Illumina RNA-seq for transcript identification and
- 66 revealed limitations in recalling full-length transcript products due to the complexity of eukaryotic
- 67 transcriptomes. Although IrRNA-seq should improve transcript reconstruction, at a fixed cost,

the reduced sequencing depth and higher error rates of long-read sequencing approaches mayoffset the improvements.

70

71 To evaluate long-read approaches for transcriptome analysis, we formed the Long-read RNA-

72 Seq Genome Annotation Assessment Project (LRGASP) Consortium modeled after the

73 previous GASP¹¹, EGASP¹², and RGASP^{9,10} efforts. For this project, we aim for an open

community effort in order to be as transparent and inclusive as possible in evaluating

technologies and computational methods (**Fig 1**).

76

77 The LRGASP Consortium will evaluate three fundamental aspects of transcriptome analysis.

First, we will assess the reconstruction of full-length transcripts expressed in a given sample

from a well-curated eukaryotic genome such as human and mouse. Second, we will evaluate

80 the quantification of the abundance of each transcript. Finally, we will assess *de novo*

81 reconstruction of full-length transcripts from samples without a high-quality genome, which

82 would be beneficial for annotating genes in non-model organisms. These evaluations became

the basis of the three challenges that comprise the LRGASP effort (**Box 1**).

84

Challenge 1: Transcript isoform detection with a high-quality genome

<u>Goal:</u> Identify which sequencing platform, library prep, and computational tool(s) combination gives the highest sensitivity and precision for transcript detection.

Challenge 2: Transcript isoform quantification

<u>Goal:</u> Identify which sequencing platform, library prep, and computational tool(s) combination gives the most accurate expression estimates.

Challenge 3: De novo transcript isoform identification

<u>Goal:</u> Identify which sequencing platform, library prep, and computational tool(s) combination gives the highest sensitivity and precision for transcript detection without a high-quality annotated genome.

85 Box 1: Overview of the LRGASP Challenges

86

87 The LRGASP Challenges will use data produced by the LRGASP Consortium Organizers (Fig

1b, Table 1, Supplementary Table 1). The samples for Challenges 1 and 2 consist of human

89 and mouse ENCODE biosamples with extensive chromatin-level functional data generated 90 separately by the ENCODE Consortium. These include the human WTC-11 iPSC cell line and a 91 mouse 129/Casteneus ES cell line for Challenge 1 and a mix of H1 and Definitive Endoderm 92 derived from H1 (H1-DE) for Challenge 2. In addition, individual H1 and H1-DE samples are 93 being sequenced on all platforms; however, those reads will not be released until after the end 94 of the challenge. All samples were grown as biological triplicates with the RNA extracted at one 95 site, spiked with 5'-capped Spike-In RNA Variants (Lexogen SIRV-Set 4), and distributed to all 96 production groups. After sequencing, reads for human and mouse samples were deposited at 97 the ENCODE Data Coordination Center (DCC) for community access, including but not limited 98 to the challenges. A single replicate of manatee whole blood transcriptome was generated for 99 Challenge 3. For each sample, we performed different cDNA preparation methods, including an early-access ONT cDNA kit (PCS110), ENCODE PacBio cDNA, R2C2¹³ for increased sequence 100 101 accuracy of ONT data, and CapTrap to enrich for 5'-capped RNAs. CapTrap is derived from the CAGE technique¹⁴ and was adapated for IrRNA-seg (manuscript in preparation). We also 102 103 performed direct RNA sequencing (dRNA) with ONT.

Sample	# of Reps	PacBio cDNA	ONT cDNA	ONT direct RNA	R2C2	CapTrap PacBio	CapTrap ONT	Illumina cDNA
Mouse 129/Cast ES cell line	3	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Human WTC-11	3	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Human H1 ES/Definitive Endoderm cell line mix	3	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Human H1 ES cell line	3	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Human H1 Definitive Endoderm cell line	3	Yes	Yes	Yes	Yes	Yes	Yes	Yes
<i>Trichechus manatus</i> peripheral blood mononuclear cells	1	Yes	Yes	Νο	No	Νο	No	Yes

4

Table 1: Overview of LRGASP sequencing data. The H1 and H1 Definitive Endoderm
samples are sequenced but are not available to participants until the close of challenges.

- 107 Participants may provide multiple submissions for each challenge (detailed in **Challenge**
- 108 submissions and timeline) and in any or all challenges. We will compare solutions where only
- 109 IrRNA-seq data was used and solutions that include additional publicly-available data.
- 110 Depending on the challenge, they will submit either a GTF or quantification file, additional
- 111 metadata, and a link to a repository (e.g., Github) where a working copy of the exact analysis
- 112 pipeline used to generate their results can be downloaded. We expect to re-run analysis
- pipelines for well-performing submissions to help ensure reproducibility. The evaluation of the
- 114 challenge will comprise both bioinformatics and experimental approaches. SQANTI3
- 115 (<u>https://github.com/ConesaLab/SQANTI3</u>) will be used to obtain transcript features and
- 116 performance metrics that will be computed on the basis of SIRV-Set 4 spike-ins, simulated data,
- 117 and a set of undisclosed, manually curated transcript models defined by GENCODE¹⁵. Human
- 118 models will further be compared to histone modification ChIP-seq, open chromatin, CAGE, and
- poly(A)-seq results. Experimental validation will be performed on a select number of loci with
- 120 either high agreement or disagreement between sequencing platforms or analysis pipelines.
- 121 Evaluation scripts and experimental protocols will be publicly available in advance of submission
- 122 deadlines (Data and code availability).

123 Methods

- 124 Additional details of all protocols for library preparation and sequencing can be found at the
- 125 ENCODE DCC and is linked to each dataset produced by LRGASP (**Supplementary Table 1**).

126 Capping SIRVs

- 127 Exogenous synthetic RNA references (spike-ins) are widely used to calibrate measurements in
- 128 RNA assays, but they lack the 7-Methylguanosine (m⁷G) cap structure that most natural
- 129 eukaryotic RNA transcripts bear at their 5' end. This characteristic makes commercial spike-in
- 130 mixes unsuitable for library preparation protocols involving 5' cap enrichment steps. Therefore,
- 131 we enzymatically added the appropriate m⁷G structure to the SIRV standards used in this
- 132 challenge. Specifically, the pp5'N structure present at the 5' end of spike-in sequence was used
- as a template for the Vaccinia capping enzyme (catalog num M2080S, New England BioLabs)
- to add the m⁷G structure to SIRV-Set 4 (Iso Mix E0 / ERCC / Long SIRVs, catalog num 141.03,

135 Lexogen). A total of ten vials of SIRV-Set 4 (100 µl) were employed to perform the capping 136 reaction (final total mass of 535 ng). The reaction was performed following the 137 recommendations of the manufacturer's capping protocol with two minor changes: 3.5 µl of 138 RNAse inhibitors (RNasin Plus RNase Inhibitor, catalog num N2611, Promega) were added to 139 the capping reaction to avoid RNAse degradation, and the incubation time was extended from 140 30 minutes to two hours, following a recommendation from New England BioLabs technical 141 support scientists. The final capping reaction was purified by using 1.8x AMPure RNA Clean XP 142 beads (catalog num. A63987, Beckman Coulter) and resuspended in 100 µl of nuclease-free 143 water.

144 Mouse and human RNA sample preparation

145 Prior to distribution of biosample total RNA aliguots to each of the participating labs, 110 µg of 146 each biosample total RNA was spiked with Lexogen Long SIRV Set-4 quantification standards 147 (catalog # 141.03) at approximately 3% of the estimated mRNA mass present (~1% of total 148 RNA). The mass of capped SIRVs used was 29.5 ng and the mass of uncapped SIRVS used 149 was 28.9 ng. In the case of direct RNA sequencing of one replicate of WTC-11 (ENCODE 150 library accession ENCLB926JPE) and one replicate of mouse ES cells (ENCODE library 151 accession ENCLB386NNT), only uncapped SIRV 4.0 were spiked in at approximately 3% of the 152 estimated mass. Appropriate volumes of the spiked total RNA mixture to meet the input mass 153 requirements for each library preparation method were then aliquoted separately, stored at -80 154 C. and shipped on dry ice to participating labs.

155

156 Manatee RNA sample preparation

157 Blood samples from Florida manatees were collected during health assessments by the U.S

158 Geological Survey (USGS) Sirenia Project, the Florida Fish and Wildlife Conservation

159 Commission (FWC), and the University of Florida under U.S. Fish and Wildlife Service

160 (USFWS) permit # MA791721-5 in Crystal River (Citrus County, Florida, USA) and in Satellite

161 Beach (Brevard County, Florida, USA) in December and January of 2018 and 2019

162 respectively. Samples were processed under the University of Florida USFWS permit

163 #MA067116-2 following a protocol approved by the ethics committee (IACUC # 201609674 &

164 IACUC # 201909674). Whole blood from minimally restrained Florida manatees were collected

165 from the medial interosseous space between the ulna and radio from the pectoral flippers.

166 Samples were drawn using Sodium Heparin 10-mL BD vacutainers (BD BioScience, New

167 Jersey, U.S.A). Blood samples were spun on-site and the plasma was aliquoted, stored in liquid 168 nitrogen or ice, and transferred to -80 °C once in the lab. The buffy coat (white blood cells) was 169 flash-frozen in liquid nitrogen on-site and total RNA was extracted subsequently in the lab using 170 STAT 60 (Tel-test Friendswood, TX) reagent. Approximately 350 µL of the frozen buffy coat was 171 added to 1 ml of STAT 60 and vortexed for 30 seconds, 250 µL of chloroform was added and 172 the tube was centrifuged 20,800 x g for 15 minutes at 4 °C, to extract the RNA. This step was 173 repeated and then RNA was precipitated from the supernatants overnight at -20°C by the 174 addition of 700 µL isopropanol with 1.5 µL of GlycoBlueTM (15 mg/mL) (Ambion, Invitrogen, 175 Austin, TX) as a coprecipitant. Following centrifugation at 20,800 x g for 45 minutes, the pellet 176 was washed with ethanol 70%, air-dried, and resuspended in 20 mL of RNA secure (Ambion, 177 Austin, TX). A DNAse treatment was performed using Turbo DNA-freeTM kit (Ambion, Austin, 178 TX). A total of nine good-quality RNA samples were selected to create an RNA pool. These 179 samples included 6 females, one calf, one lactating female and one male and had RIN values 180 from 8.0 to 8.8.

181

182 Manatee genome sample preparation

183 The genome of the Florida manatee Lorelei was sequenced using Nanopore and Pacbio. 184 Lorelei is the same individual manatee for which an Illumina-based genome assembly was 185 released by the Broad Institute in 2012¹⁶. An EDTA, -80°C whole blood sample aliquot was 186 used. gDNA was extracted from 1400 µl of blood using the DNeasy kit (QIAGEN, MD, USA) 187 following the companies' specifications for 100 µl aliguots of blood. Thawed blood was diluted 188 1:1 with RNA free Phosphate buffered saline 1x (Gibco, UK), 20 µl of proteinase K (QIAGEN, 189 MD, USA), and 200 ul of AL lysis buffer (QIAGEN, MD, USA) and vortexed immediately. It was 190 incubated at 56 °C for 10 minutes. Then, we added 200 µl of ethanol 96% and mixed it 191 thoroughly. The mixture was added to the DNeasy mini spin-column and centrifuged at 6,000 x 192 g for 1 minute. The column was washed with 500 µl of AW1 solution (QIAGEN, MD, USA) and 193 centrifuged at 6,000 x g for 1 minute and followed with a wash with 500 µl AW2 (QIAGEN, MD, 194 USA) and centrifuged 20,000 x g for 3 minutes. gDNA was eluted twice with 100 µl of AE 195 buffer added to the center of the column, incubated for 1 minute, and centrifuged 6,000 x g for 1 196 minute. The first and second elution from the DNeasy mini spin-column were pooled and 197 concentrated using a speed vacuum for 20 minutes in which each preparation was reduced 198 from 200 to 50 µl. All gDNA tubes were pooled and the DNA was cleaned with AM Pure 199 magnetic beads (Beckman Coulter-Life Sciences, IN, USA) at a ratio of 0.5:1, beads volume to

200 gDNA volume (50 µl of beads to 100 µl of gDNA). gDNA bound to the beads was washed twice 201 with 1 ml of 70% ethanol. Ethanol traces were removed by quick spin to the bottom of the tube 202 and removed with a pipette. Then, the beads were dried for 2 minutes and qDNA was eluded in 203 55 µl of EB buffer (QIAGEN, MD, USA) at 37 °C with 10 minutes of incubation. This process 204 was repeated twice. Quantification of gDNA was performed with a QubitTM fluorometer 205 (Thermo Fisher Scientific) and the quality of the gDNA was assessed using a Genomic Agilent 206 TapeStation (Santa Clara, CA, USA). The final DNA quantity was 28.8 µg of DNA at a 207 concentration of 267 ng/µl. The DNA Integrity Number (DIN) was 8.8 and the peak size was 208 54.5 kb.

209

210 cDNA preparation for Illumina and PacBio sequencing of human and mouse

PacBio cDNA synthesis was performed using a modified version of the Picelli protocol¹⁷ with the 211 212 Maxima H- reverse transcriptase. Total RNA was treated with exonuclease to remove 213 transcripts without a cap. 2 µl of exonuclease-treated RNA were mixed with a priming reaction 214 (RNAse inhibitor, dNTP's and water)was incubated at 72°C for 3 minutes, then ramps down to 215 50°C. While in the PCR block we added oligo dT (stock concentration 10 nM) and were 216 incubated 3 min at 50°C. We then added a first strand synthesis buffer (5x RT buffer, TSOligo, 217 water) that had previously been incubated at 50°C for one minute. The previous reaction was 218 then incubated in the PCR block (Extension at 50°C for 90 min, 85°C for 5 min and held at 4°C). 219 To the same reaction we added a mix for amplification (2x reaction buffer, IS primers - 20 nM 220 stock, water and SegAmp polymerase). Then we ran a PCR program to amplify the cDNA (95°C 221 1 min, 98°C 15 sec, 65°C 30 sec and 68°C 13 min. The cycle repeats 10 times, which is 222 followed by incubation at 72°C for 10 min and holding at 4°C. The amplified products were 223 purified using SPRI beads and checked for guality in a bioanalyzer.

224

225 PacBio library preparation of human and mouse libraries

To build PacBio libraries, we started from 500 ng of polyA selected cDNA. The ends of the cDNA were repaired first in order for the cDNA molecule to be suitable for ligation of SMRTbell adapters. We added a damage repair reaction (DNA prep buffer, NAD and DNA damage repair) and then incubated at 37°C for 30 min. Then End prep mix was added and incubated at 20°C for 30 min and 65°C 20 min. Ligation of the adapter at the ends of the cDNA was done by adding a ligation mix (pacbio adapters, ligation mix, ligation enhancer and ligation additive), then it was incubated at 20°C for 60 min. Final libraries were cleaned up using SPRI beads and we

- recorded the size and concentration of samples. Once the ligation step was done and the
- 234 libraries passed the QC, a sequencing primer was annealed to the adapters in the UCI GHTF
- sequencing facility to allow for the binding of the polymerase during sequencing.
- 236

237 CapTrap preparation for PacBio and ONT sequencing of human and mouse

238 CapTrap is a technique developed by the Guigó laboratory (CRG, Barcelona, Spain) in 239 collaboration with the group of Piero Carninci in RIKEN, Japan. The method enriches for full-240 length transcripts by selection of the 7-Methylguanosine (m7G) cap structure present at the 5' 241 ends of RNA transcripts, followed by specific cap- and polyA- dependent linker ligations. The 242 cDNA libraries generated using this method are compatible with long-read sequencing platforms 243 (ONT or PacBio). The protocol starts with first strand synthesis (PrimeScript II Reverse 244 Transcriptase, catalog num. 2690A, Takara) where 5 µg of total RNA polyA+ RNAs are fully 245 reverse transcribed using a 16-mer anchored dT oligonucleotide. First strand synthesis was 246 performed at 42 °C for 60 minutes. Resulting products were purified with 1.8x AMPure RNA Clean 247 XP beads (catalog num. A63987, Beckman Coulter). After the first-strand generation, the m7G 248 cap structure at the 5' end of the transcripts is selectively captured using the CAP-trapper 249 technique ^{14,18}, which leads to the removal of uncapped RNAs. The diol group on the m⁷G cap is 250 oxidized with 1M NaOAc (pH 4.5) and NaIO4 (250 mM). Tris HCI (1M, pH 8.5) was added to stop 251 the reaction and the whole reaction was purified with 1.8x AMPure RNA Clean XP beads. 252 Aldehyde groups were biotinylated using a mixture containing NaOAc (1M, pH 6.0) and Biotin 253 (Long Arm) Hydrazide (100 mM, catalog num. SP-1100, Vector Laboratories). The resulting 254 mixture was then incubated for 30 minutes at 40°C and purified with 1.8x AMPure RNA Clean XP 255 beads. Single strand RNA was degraded by RNase ONE Ribonuclease (catalog num. M4261, 256 Promega) for 30 minutes at 37°C and purified with 1.8x AMPure RNA Clean XP beads. The m7G 257 cap structure bound to biotin is then selected using M-270 streptavidin magnetic beads (catalog 258 num. 65305, Thermo Fisher Scientific). M-270 streptavidin magnetic beads were equilibrated with 259 CapTrap Lithium chloride/Tween 20 based binding buffer. Sample recovered after RNase ONE 260 purification was bound to equilibrated M-270 streptavidin magnetic beads (incubation at 37°C for 261 15 minutes), washed 3 times with CapTrap Tween20 based washing buffer and released by heat 262 shock for 5 minutes at 95°C and guickly cooled on ice. A second release was performed, and the 263 supernatant was also collected and mixed with the eluate from the previous release. The released 264 sample was treated with RNase H (60 U/µl, Ribonuclease H <RNase H>, catalog num. 2150,

265 Takara), RNase ONE (10 U/µl) and CapTrap release buffer (incubated at 37°C for 30 minutes), 266 purified with 1.8x AMPure XP beads (catalog num. A63881, Beckman Coulter) and concentrated 267 by using a speed vac. After this cap specific selection, two double-stranded linkers, carrying a unique molecular identifier (UMI), are specifically ligated to the first strand cDNA¹⁹. Linker ligation 268 269 (DNA Ligation Kit < Mighty Mix>, catalog num. 6023, Takara) was performed in two separate 270 steps. First the 5' linker was ligated, purified twice, to completely eliminate the non-incorporated 271 linkers, with 1.8x AMPure XP beads and concentrated by using a speed vac. Then the 3' linker 272 was ligated, purified once with 1.8x AMPure XP beads and finally concentrated by using a speed 273 vac. The double stranded linkers are converted into single strand by Shrimp Alkaline Phosphatase 274 (1 U/µl SAP, catalog num. 78390, Affymetrix) and Uracil-Specific Excision Reagent (1 U/µl USER, 275 catalog num. M5505L, NEB) treatment. This reaction was incubated for 30 minutes at 37°C, 5 276 minutes at 95°C and finally placed on ice. The sample was then purified with 1.8x AMPure XP 277 beads. After this treatment, the two linkers which serve as priming sites for the polymerase (2x 278 HiFi KAPA mix, catalog num. 7958927001-KK2601, Kapa), enable the synthesis of the full-length 279 second strand. The mixture was incubated for 5 minutes at 95°C, 5 minutes at 55°C, 30 minutes 280 at 72°C and finally held at 4°C until 1 µl Exonuclease I (20U/µl, catalog num. M0293S, NEB) was 281 added to each sample. The sample was then incubated for 30 minutes at 37°C and afterwards, 282 purified twice with 1.8x and 1.4x (respectively) AMPure XP beads and finally concentrated in a 283 speed vac. The resulting cDNA is amplified (TaKaRa LA Tag, catalog num. RR002M, Takara) via 284 long and accurate PCR (LA PCR) protocol. In order to avoid PCR duplicates, each sample was 285 split in two PCR independent reactions and amplified 16 cycles with 15 seconds at 55°C for 286 annealing, and 8 minutes at 65°C for extension. The 2 PCR replicates were merged and purified 287 with 1x AMPure XP beads. Samples were quantified with Qubit (Qubit 4 Fluorometer, Thermo 288 Fisher Scientific) and quality-checked with BioAnalyzer (Agilent 2100 Bioanalyzer, Agilent 289 Technologies).

290

CapTrap MinION cDNA sequencing was performed with 500 ng of cDNA sample coming from
CapTrap cDNA protocol and strictly following the SQK-LSK109 adapter ligation protocol (ONT).
The cDNA sequencing on MinION platform was performed using ONT R9.4 flow cells and the
standard MiniKNOW protocol.

295

PacBio Sequel II sequencing was performed using 500 ng of CapTrap samples following the
SMRTbell[™] Express Template Prep Kit 2.0 protocol.

298

299 R2C2 preparation for ONT sequencing of human and mouse

300 For each biological replicate, two libraries were created, a regular (non-size selected), and a 301 size selected library of cDNA over 2 kb in length to achieve higher coverage of longer 302 transcripts. For each RNA sample, 400 ng was used to generate full-length single stranded 303 cDNA using an indexed oligo(dT) primer and a template switching oligo (TSO). PCR was used 304 to generate the second strand and amplify the library. The cDNA was then isolated by SPRI 305 bead clean up. For the size selected libraries, cDNA was run on a 1% low melt agarose gel. A 306 smear in the range of 2–10 kb was excised from the gel and digested with beta-agarase 307 followed by SPRI bead clean up. At this point, indexed cDNA from each biological replicate was 308 pooled together equally. cDNA was circularized using a short DNA splint with sequence 309 complementary to the cDNA ends by Gibson Assembly (NEBuilder, NEB) with a 1:1 cDNA:splint 310 ratio (100 ng each). After Gibson assembly, a linear digestion (Exol, ExoIII, and Lambda 311 Exonuclease) was performed to eliminate non-circularized DNA. The circular Gibson assembly 312 product was cleaned up using SPRI beads. The circularized library was used as template for 313 rolling circle amplification (RCA) using Phi29 polymerase and random hexamer primers. 314 Following the RCA reaction, T7 endonuclease was used to debranch the DNA product. A DNA 315 clean and concentrator column was used to purify the DNA. Purified RCA product was size-316 selected using a 1% low melt agarose gel. The main band just over the 10 kb marker was 317 excised from the gel and digested with beta-agarase followed by SPRI bead clean up. The 318 cleaned and size selected RCA product was sequenced using the ONT 1D Genomic DNA by 319 Ligation sample prep kit (SQK-LSK109) and MinION flow cells (R9.4.1) following the 320 manufacturer's protocol. Flow cells were nuclease flushed and reloaded with additional library 321 following ONT Nuclease Flush protocol.

322

323 cDNA preparation for ONT sequencing of human and mouse

Library preparation was done from total RNA (200ng) using SQK-PCS110 kit from ONT for PCR-cDNA sequencing. Briefly, cDNA RT adapters were annealed and ligated to full length RNAs using NEBNext® Quick Ligation Reaction Buffer (NEB B6058) and T4 DNA Ligase (NEB M0202). Bead clean up was done using Agencourt RNAClean XP beads. Purified RNA with CRTA top strand, RT primers, and dNTPs (NEB N0447) were incubated at RT for 15 mins to generate primer-annealed RNA. Reverse transcription and strand-switching was performed with Maxima H Minus RT enzyme in presence of strand-switching primers at 42°C for 90 mins

followed by heat inactivation at 85°C for 5 mins. Reverse transcribed samples were PCR

332 amplified using cDNA primers and LongAmp Hot Start Master Mix (NEB, M0533S). Samples 333 were treated with NEB exonuclease I (NEB, M0293) for 15 mins at 37^oC to degrade linear 334 single-stranded DNA, followed by enzyme inactivation at 80°C for 15 mins. Samples were 335 purified with Agencourt AMPure XP beads. Elution was done with 12 ul of elution buffer. 1ul of 336 libraries was electrophoresed on TapeStation screentapes to assess size distribution, quantity 337 and quality of library. FLO-MIN106D flow cells were primed with EXP-FLP002 kit reagents 338 followed by loading of PCR-cDNA library mixed with rapid adapter F (along with sequencing 339 buffer and loading beads). Sequencing of the library was performed without any size selection 340 using MinION Mk1B devices and MinKNOW software interface.

341

342 dRNA preparation for ONT sequencing of human and mouse

343 dRNA libraries were prepared from 75ug total RNA. RNA samples were poly-A selected using 344 the NEXTFLEX poly-A kit. Purified mRNA was eluted in 12uL NF H2O. Library preparation was 345 performed on purified mRNA using the SQK-RNA002 kit. Direct RNA RT adapters were 346 annealed and ligated to full-length mRNA using T4 DNA Ligase. NEBNext Quick Ligation 347 Reaction Buffer, and Nanopore's RNA CS. Adapter-ligated mRNA was incubated with dNTPs, 348 5x first-strand buffer, nuclease-free water, SuperScript IV, and 0.1M DTT to create a cDNA-RNA 349 hybrid. This reverse-transcription (RT) step is recommended by Nanopore to reduce secondary 350 structure formation of the mRNA as it is being sequenced. RTed RNA was purified using 351 RNAClean XP beads. Nanopore adapters were ligated onto the RTed RNA using NEBNext 352 Quick Ligation Reaction Buffer and T4 DNA Ligase. Following RNAClean XP bead cleanup, the 353 libraries were eluted in 21uL of Nanopore's Elution Buffer. 1 uL of each library was guantified on 354 the TapeStation to ensure nucleic acid concentration was at minimum ~200ng. Libraries were 355 loaded into MinION flow cells using the EXP-FLP002 Flow Cell Priming Kit. Libraries were 356 sequenced for 72 hour runs.

357

358 Manatee ONT genome sequencing

2 μg of genomic DNA in a total volume of 100 μl was fragmented with the g-Tube fragmentation

360 method (Covaris, Woburn, MA, USA) by using centrifugation at 6,000x g for 1 min. The large

- 361 DNA fragments were enriched by using 0.85x volume of Agencourt AMPure XP beads
- 362 (Beckman Coulter, Brea, CA, USA) in the purification procedure. The enriched DNA fragments
- 363 were subjected to library preparation with Nanopore Genomic DNA Ligation Sequencing Kit

364 (Oxford Nanopore Technologies, Oxford, UK) following the manufacture's protocol. A total of
 365 700 ng of final library product was loaded on a flow cell and sequenced with a Nanopore

- 366 GridION sequencer (Oxford Nanopore Technologies, Oxford, UK) for a 72-hr run. A total of 5
- Sob Ghulon sequencer (Oxford Nanopore rechnologies, Oxford, OK) for a 72-fit full. A total of a
- 367 flow-cell runs were conducted for this project.

368 Manatee cDNA Pacbio library preparation and sequencing

369 Approximately 280 ng of total pooled RNA were processed according to a modified IsoSeg 370 protocol. The sample was spiked-in with the uncapped E2 RNA variant control mix (SIRVs, 371 Lexogen, Cat # 025.03) at a 2.83% mass proportion relative to the total RNA. The resulting 372 mixture was subjected to a globin removal step using the QIAseg FastSelectTM- HRM Globin 373 removal reagent (cat # 334376). This kit was designed for globin removal from human, mouse, 374 and rat tissues and was found to perform with various degrees of efficiency on blood from a 375 wide variety of samples of mammalian origin. Globin removal was performed as recommended 376 in the QIAseq FastSelectTM- -rRNA HRM -Globin Handbook (Oct 2019) in the NEBNext Ultra II 377 section, except that the high-temperature fragmentation step was omitted. The globin removal 378 reaction (9 µl) contained: 280 ng sample (RNA plus 2.83% SIRVs), QIAseg FastSelect globin 379 removal reagent, 2 µl NEBNext Single Cell RT Primer Mix (NEB #6421), and 2.25 µl of 380 NEBNext Single Cell RT buffer (4x). This mixture was prepared in a 0.2 ml PCR tube and 381 subjected to a stepwise series of 2 min incubations each of 75°C, 70°C, 65°C, 60°C, 55°C, 37°C 382 and 25°C. At this point, the sample was snap-cooled by transferring to a pre-chilled freezer 383 block until ready for the RT and amplification steps. From this point on, cDNA synthesis was 384 done as described in the "Protocol for Low Input RNA: cDNA Synthesis and Amplification" (NEB 385 #E6421) starting on section 2.3. More specifically, the template "RT and Template Switching" 386 reaction consisted of 9 µl of globin-removed RNA, 2.75 µl NEBNext Single Cell RT Buffer (4x), 1 387 ul of NEBNext Template Switching Oligo, 2 ul of NEBNext Single Cell RT Enzyme Mix and 388 enough water to bring the total to 20 µl. The reaction was incubated in a thermocycler for 90 min 389 at 42 °C and 10 min at 72 °C. The cDNA products were split into four aliquots for PCR 390 amplification (100 µl) reactions containing 2 µl NEBNext Single Cell cDNA PCR Primer, 0.5 µl 391 10X NEBNext Cell Lysis Buffer, 50 µl NEBNext Single Cell cDNA PCR Master Mix, 5 µl RT and 392 Template Switching reaction and water. Amplified cDNA was purified by AMPure, one round at 393 0.8 to 1.0 beads to sample ratio and one round at 0.65:1.0 ratio. The yield of amplified cDNA by 394 this modified protocol (300-400 ng) was about 10-fold lower than the standard protocol (i.e., 395 without globin-removal). The average cDNA size was ~1400 bp. When increased amounts of 396 cDNA were desired the cDNA was amplified by 5 additional PCR cycles.

- 397 Two preps obtained with the above described protocol were pooled together and 500 ng were
- 398 loaded on an electrophoretic lateral fractionation system (ELF, SageScience). Fragments above
- 399 2.5 kb were collected, re-amplified (10 cycles), and re-pooled equimolarly with non-size-
- 400 selected cDNA fragments. This re-pooled cDNA prep is referred to as "enriched cDNA_>2.5kb".
- 401 Both non_enriched cDNA and enriched cDNA_>2.5kb cDNA were used for SMRT bell library
- 402 was constructed starting with 1 μ g of cDNA as described (PacBio IsoSeq protocol 101-070-200
- 403 Version 06, September 2018). Briefly, SMRTbell adaptors (Iso-SeqTM) were added using
- 404 reagents from the PacBio SMRTbell Template Prep Kit 1.0-SPv3 starting with either 200 ng (for
- 405 enriched cDNA >2.5kb) or 700 ng (for non enriched cDNA). The main steps included: DNA
- 406 Damage Repair, End Repair, Blunt-end ligation of SMRT bell adaptors, and ExoIII/ExoVII
- 407 treatment. This procedure resulted in ~25-30% yield. Finally, libraries were eluted in 15 ul of 10
- 408 nM Tris HCl, pH 8.0. Library fragment size was estimated by the Agilent TapeStation (genomic
- 409 DNA tapes), and this data was used for calculating molar concentrations.
- 410 The enriched cDNA >2.5 kb library was diffusion-loaded on a single SEQUEL SMRT cell
- 411 (University of Florida, ICBR-NGS core lab) at loading concentration was 10 pM, using 4-hr pre-
- 412 extension, 20 hr movies and v3 chemistry reagents (for binding and sequencing). All other steps
- 413 for sequencing were done according to the recommended protocol by the PacBio SMRT Link
- 414 Sample Setup and Run Design modules (SMRT Link 6.0).
- The non enriched cDNA library loaded on three Sequel II SMRT cells at University of California,Irvine.

417 Manatee cDNA Nanopore library preparation and sequencing

418 One hundred and fifty nanograms of total pooled RNA were processed according to a modified 419 ONT cDNA-PCR Sequencing protocol (cDNA-PCR-PCS109, version PCS 9085 v109 revJ Aug 420 14, 2019). Spike-in and globin depletion treatment was conducted as described for Pacbio library 421 preparation. In this case, the globin removal reaction (11 ul) contained: sample (RNA plus SIRVs), 422 globin removal reagent, 1 mM dNTP, 0.2 µM VPN primer from the Nanopore cDNA synthesis 423 protocol (i.e., in place of random primers), and 1X RT buffer (ThermoFisher). This mixture was 424 prepared in a 0.2 ml PCR tube and submitted to a stepwise series of 2 min incubation for each of 425 75 °C, 70 °C, 65 °C, 60 °C, 55 °C, 37 °C and 25 °C. At this point, the sample was snap-cooled by 426 transferring to a pre-chilled freezer block until ready for the RT and amplification steps. From this 427 point on, cDNA synthesis was done as described in the cDNA-PCR Sequencing (SQK-PCS109) 428 Nanopore manual starting on page 9 (Version: PCS 90985 v109 revJ 14Aug2019). A single 429 globin removal and cDNA synthesis reaction was split into four PCR reactions for amplification.

430 This process resulted in approximately 2 micrograms of "full-length" cDNA with an average size 431 of ~1800 bp. One size-selected library was constructed by loading 1500 ng of this cDNA on an 432 electrophoretic lateral fractionation system (ELF, SageScience), collecting.5 kb were collected, 433 re-ampliving (6 cycles) and re-pooling with non-size-selected cDNA fragments. Adaptor ligation 434 and sequencing were performed according to the cDNA-PCR Sequencing (SQK-PCS109) 435 Nanopore manual. Between 120-140 fmol of cDNA was loaded on a FLO-MIN106D (R9.4 436 SpotON) flow cell for sequencing on the minION device. Two runs were done on non-size-437 selected manatee cDNA, while only one run was done on the cDNA that had been enriched with 438 >2.5 kb fragments. Sequencing runs were allowed to proceed for 48 hours.

439

440 Long-read data processing

- 441 Basecalling of ONT data from human, mouse and manatee was performed with Guppy 4.2.2
- and hac 9.4.1 config file, with default parameters, except: --qscore_filtering --min_qscore 7
- 443 (these non-default parameters were used in all ONT cDNA runs except for R2C2 datasets).
- 444 Direct RNA basecalling was also performed with Guppy 4.4.2 with the following configurations: -
- 445 -qscore_filtering yes --min_qscore 7 --reverse_sequence yes
- 446 --u_substitution yes
- 447
- 448 PacBio full-length non-chimeric (FLNC) reads were generated with CCS 4.2.0 (parameters: --
- 449 noPolish --minLength=10 --minPasses=3 --min-rq=0.9 --min-snr=2.5), Lima 1.11.0 (parameters:
- 450 FASTA with the appropriate adapters --isoseq --min-score 0 --min-end-score 0 --min-signal-
- 451 increase 10 --min-score-lead 0), and Refine 3.3.0 (parameters: --min-polya-length 20 --require-
- 452 polya).
- 453
- 454 Consensus R2C2 reads were generated with C3POa v1.0.0
- 455 (https://github.com/rvolden/C3POa/tree/gonk) with default options
- 456
- 457 Sequence data are provided in FASTQ format. For PacBio data, subreads are provided in
- 458 unaligned BAM format and for R2C2 data, subreads are provided in FASTQ (Supplementary
- 459 **Table 1)**.

460 **Reference genome and annotations**

For submissions of transcript models and quantification, transcript annotations and genome models corresponding to GENCODE human v38 and mouse M27 will be used. Submissions of challenge predictions are expected to end in Fall 2021, prior to the release of GENCODE human v39 and mouse M28. The newly released GENCODE annotations will, therefore, be used for the evaluations. GRCh38 is the reference genome sequence for human and GRCm39 for mouse, GENCODE annotations are based on these genomes. Please note that GENCODE M25 and earlier annotation releases are based on GRCm38.

468

469 Simulated data

470 Simulating RNA reads simply from the reference transcriptome would only allow the

471 assessment reconstruction of known transcript models. Thus, we extended both human and

472 mouse annotations with artificial novel transcripts. To obtain those, we mapped reference

transcripts of an undisclosed mammalian organism to the human and mouse genomes and

474 converted the alignments into transcript models using SQANTI²⁰. We then arbitrarily selected

isoforms of known genes that have only canonical splice sites (GT-AG, GC-AG and AT-AC) and

476 merged them into human and mouse GENCODE Basic annotations.

477

To generate realistic isoform expression profiles we selected undisclosed human and mouse
long read datasets and quantified them simply by mapping to the reference transcripts with
minimap2 v2.17 (Li, 2018). Artificial novel isoforms were assigned arbitrary expression values.
Generated expression profile was further used for simulating short and long reads.

482

483 To simulate reads produced by different sequencing platforms we used existing simulation methods. Illumina 2x150bp read pairs were generated with the RSEM simulator²¹ using an 484 error model obtained from real RNA-Seq data²² (accession number ERR1474891). ONT reads 485 were simulated with NanoSim²³ using pre-trained cDNA and dRNA models available in the 486 487 package with average error rate of 15.9% (4.8% substitutions, 6.0% deletions, 5.1% insertions) 488 and 11.2% (2.8% substitutions, 5.9% deletions, 2.5% insertions) respectively. PacBio CCS 489 reads were obtained with IsoSeqSim (https://github.com/yunhaowang/IsoSeqSim), which 490 truncates input reference transcript sequences and uniformly inserts errors according to given 491 probabilities. We used Sequel II truncation probabilities provided along with the package. Error 492 rate was estimated using real PacBio cDNA CCS reads obtained in this work as 1.6% (0.4%

substitutions, 0.6% deletions, 0.6% insertions). Additionally, polyA tails were attached to the 3'end of reference transcript sequences prior to running the simulation.

495

We simulated two datasets containing reads from all 3 platforms listed above but with slightly different properties. Human datasets were simulated with 100 million Illumina read pairs, 30 million ONT cDNA and 10 million PacBio reads. Mouse datasets also contained 100 million Illumina read pairs, but equal amounts of PacBio CCS and ONT dRNA reads were generated (20 million sequences each).

501

502 To allow users to simulate their own data, the methods described above are implemented as

503 simple command-line scripts which are available at <u>https://github.com/LRGASP/lrgasp-</u>

- 504 <u>simulation/</u>.
- 505

506 CAGE data of WTC-11 samples for validation of transcript 5' ends

507 CAGE data from WTC-11 samples are being produced for validation of transcript 5' ends; 508 therefore, will not be released until the close of the challenge submissions. CAGE data will be 509 obtained from two RNA biological replicates of WTC-11, from the same exact RNA used for 510 long-read sequencing.

511

512 The 15 μg of WTC-11 RNAs from each biological replicate, ENCODE BioSample Accession

513 #ENCBS944CBA and #ENCBS474NOC, were used for the single strand (ss)CAGE library

514 preparation described in the published protocol²⁴. Briefly, the 15 μ g RNAs were aliguoted to 5

515 µg in three tubes and reverse transcribed to cDNAs with random primers, and the RNA-cDNA

516 hybrids were cap-trapped by the streptavidin beads. The single strand cDNAs were released

517 from the beads and ligated to the Illumina adaptors with an index. 1080 amols of the cap-

518 trapped single strand cDNAs from each biological replicate were sequenced by Illumina HiSeq

519 Rapid SBS Kits v2 (SR, 150 cycles, 1 lane for each), producing approximately 40 million reads
520 per sample.

521

522 QuantSeq of human and mouse samples for validation of transcript 3' ends

523 QuantSeq data (3' end sequencing) from challenge 1 and 2 samples are being produced for

validation of 3' ends; therefore, this data will not be released until the close of the challenge

submissions. Data will be obtained from two RNA biological replicates of WTC-11, from thesame exact RNA used for long-read sequencing.

527

528 Full-length transcript validation with NRCeq

529 Depending on sample availability, we may further sequence the WTC-11 cell line using NRCeq, 530 a method for direct RNA sequencing which can distinguish full length reads (i.e., dRNA reads containing both the 5' cap and polyA tail)²⁵. NRCeg uses an oligomer adaptation approach to 531 532 ligate an adapter specifically to 5' m7G capped RNAs and performs polyA-selected direct RNA 533 sequencing. NRCeq would provide additional data to validate start-to-end RNA transcript sequence without RT-PCR artifacts²⁶. Because the technique requires approximately 2.5 ug of 534 535 poly(A)-selected RNA, the sequencing will be performed on two independent biological 536 replicates of WTC-11 that were not from the original cell batch from which long-read sequencing 537 was performed.

538

539 **GENCODE benchmarks and computational evaluation**

540 Full manual annotation will be undertaken on 50 selected loci on both the human and mouse 541 reference genomes. Transcript models will only be annotated during this exercise based on their 542 support from long transcriptomic datasets generated by the consortium specifically for LRGASP. 543 That is, no transcript annotation will be based on transcriptomic data from externally produced 544 datasets, although annotators will use any publicly available orthogonal data to aid interpretation 545 of aligned consortium data. For example, Fantom 5 CAGE datasets will be used to help identify 546 transcription start sites and transcript 5' ends and RNA-seq-supported introns derived from high 547 throughput reanalysis pipelines such as Recount will be used to support putative introns 548 identified in the alignments of long transcriptomic data. 549

550 Manual annotation will be performed according to the guidelines of the HAVANA (Human And

551 Vertebrate Analysis aNd Annotation) group^{15,27}. Transcriptomic data will be aligned to the

human and mouse reference genome using appropriate methods. We will test the benefits of

aligning the transcriptomic data using multiple methods to reduce the impact of alignment errors

- 554 and artefacts.
- 555

556 Annotators will also take advantage of local alignment tools integrated into annotation software 557 to give further alternative views of alignments and improve annotation accuracy. Transcript 558 models will be manually extrapolated from the alignments by annotators using the otter annotation interface ²⁸. Alignments will be navigated using the Blixem alignment viewer ^{29,30} and 559 560 where required visual inspection of the dot-plot output from the Dotter tool³¹ will be used to 561 resolve any alignment with the genomic sequence that was unclear or absent from Blixem. 562 Short alignments (<15 bases) that cannot be visualized using Dotter will be detected using 563 Zmap DNA Search³¹ (essentially a pattern matching tool). The construction of exon-intron 564 boundaries will require the presence of canonical splice sites (defined as GT-AG, GC-AG and 565 AT-AC) and any deviations from this rule will be given clear explanatory tags (for example non-566 canonical splice site supported by evolutionary conservation). All non-redundant splicing 567 transcripts at an individual locus will be used to build transcript models, and all alternatively 568 spliced transcripts will be assigned an individual biotype based on their putative functional 569 potential. Once the correct transcript structure has been ascertained the protein-coding potential 570 of the transcript will be determined on the basis of its context within the locus, similarity to 571 known protein sequences, the sequences of orthologous and paralogous proteins, candidate 572 coding regions (CCRs) identified by PhyloCSF, evidence of translation from mass spectrometry 573 and Ribo-seg data, the presence of Pfam functional domains, the presence of possible 574 alternative ORFs, the presence of retained intronic sequence and the likely susceptibility of the 575 transcript to nonsense-mediated mRNA decay (NMD). Although the annotation of transcript 576 functional biotype and CDS is not required of submitters, it will be added to transcripts as a 577 matter of routine manual annotation and may be used to investigate the detection or non-578 detection of groups of transcripts by submitters. Where necessary, annotations will be checked 579 by a second annotator to ensure completeness and consistency of annotation between the 580 genes annotated for LRGASP and the remainder of the Ensembl/GENCODE geneset.

581 **Computational evaluation of transcript isoform detection and quantification**

582 Challenge 1 Evaluation: Transcript isoform detection

Four sets of transcripts will be used for evaluation of transcript calls made on human and mouseIrRNA-seq data

5851. Lexogen SIRV-Set 4 (SIRV-Set 3 plus 15 new long SIRVs with sizes ranging from 4 to58612 kb)

- 587 2. Comprehensive GENCODE annotation: human v39, mouse vM28. GENCODE human
- 588 589

v28 and vM27 are available at the time of the LRGASP data release and new versions of GENCODE will be released after the close of LRGASP submissions.

- 3. A set of transcripts from a subset of undisclosed genes which will be manually curated
 by GENCODE. These transcripts will thus be considered high-quality models derived
 from LRGASP data
- 593

4. Simulated data for both Nanopore (Nanosim) and PacBio (Iso-SeqSim) reads

594

595 The rationale for including these different types of transcript data is that each set creates a 596 different evaluation opportunity, but also has its particular limitations. For example, SIRVs and 597 simulated data provide a clear ground truth that allows the calculation of standard performance 598 metrics such as sensitivity, precision or false discovery rate. Evaluation of SIRVs can identify 599 potential limitations of both library preparation as well as sequencing, but the SIRVs themselves 600 represent a dataset of limited complexity. Higher complexity can be generated when simulating 601 long reads based on actual sample data. However, read simulation algorithms only capture some 602 potential biases of the sequencing technologies (e.g., error profiles) and not of the library 603 preparation protocols. In any case, both types of data approximate, but do not fully recapitulate real-world datasets. Evaluation against the GENCODE annotation¹⁵ represents this real dataset 604 605 scenario, although in this case the ground truth is not entirely known. This limitation will be partially 606 mitigated by the identification of a subset of GENCODE transcript models that will be revised and 607 deemed as high-confidence by GENCODE curators, and by follow-up experimental validation for 608 a small set of transcripts using semi-guantitative RT-PCR and guantitative PCR (gPCR) 609 approaches. In this way, although an exhaustive validation of the real data is not possible, 610 estimates of the methods' performances can be inferred. By putting together evaluation results 611 obtained with all these different benchmarking datasets, insights will be gained on the 612 performance of the library preparation, sequencing and analysis approaches both in absolute and 613 in relative terms.

614

The evaluation of the transcript models will be guided by the use of SQANTI categories²⁰ (**Fig 2a**), implemented in the SQANTI3 software (https://github.com/ConesaLab/SQANTI3), and will incorporate additional definitions and performance metrics to provide a comprehensive framework for transcript model assessment (**Table 2**). The evaluation considers the accuracy of the transcript models both at splice junctions and at 3'/ 5' transcript ends. It will take into account external sources of evidence such as CAGE data, polyA annotation and support by

20

- 621 Illumina reads (**Fig 2b**). A number of novel transcripts detected by all or most pipelines, as well
- 622 as pipeline-, platform-, or library- preparation specific transcripts will be selected for
- 623 experimental validation and manual review by the GENCODE project. The evaluation script is
- 624 provided to participants (**Data and code availability**).
- 625
- 626

627 Table 2: Transcript Classifications and Definitions used by the LRGASP computational

628 evaluation

Classification	Description
Full Splice Match (FSM)	Transcripts matching a reference transcript at all splice junctions
Incomplete Splice Match (ISM)	Transcripts matching consecutive, but not all, splice junctions of the reference transcripts
Novel in Catalog (NIC)	Transcripts containing new combinations of 1) already annotated splice junctions, 2) novel splice junctions formed from already annotated donors and acceptors, or 3) unannotated intron retention
Novel Not in Catalog (NNC)	Transcripts using novel donors and/or acceptors
Reference Match (RM)	FSM transcript with 5' and 3'ends within 50 nts of the transcription start site (TSS)/transcription termination site (TTS) annotation
_3´_polyA_supported	Transcript with polyA signal sequence support or short-read 3' end sequencing (e.g. QuantSeq) support at the 3'end
_5´_CAGE_supported	Transcript with CAGE support at the 5'end
_3´_reference_supported	Transcript with 3'end within 50 nts from a reference transcript TTS
_5´_reference_supported	Transcript with 5'end within 50 nts from a

	reference transcript TSS
Supported Reference Transcript Model (SRTM)	FSM/ISM transcript with 5 [°] end within 50 nts of the TSS or has CAGE support AND 3 [°] end within 50 nts of the TTS or has polyA signal sequence support or short-read 3 [°] end sequencing support
Supported Novel Transcript Model (SNTM)	NIC/NNC transcript with 5' end within 50 nts of the TSS or CAGE support AND 3' end within 50 nts of the TTS or has polyA signal sequence support or short-read 3' end sequencing support AND Illumina read support at novel junctions
% Long Read Coverage (%LRC)	Fraction of the transcript model sequence length mapped by one or more long reads
Redundancy	# LR transcript models / reference model
Longest Junction Chain ISM NIC / NNC Intron retention (IR) level	# junctions in ISM / # junctions reference # reference junctions / # junctions in NIC/NNC Number of IR within the NIC category
Illumina Splice Junction (SJ) Support	% SJ in transcript model with Illumina support
Full Illumina Splice Junction Support	% transcripts in category with all SJ supported
% Novel Junctions	# of new junctions / total # junctions
% Non-canonical junctions	# of non-canonical junctions / total # junctions
% Non-canonical transcripts	% transcripts with at least one non-canonical junction
Intra-priming	Evidence of intra-priming (described in ²⁰)
RT-switching	Evidence of RT-switching (described in ²⁰)

- 630
- Given these definitions, evaluation metrics are specified for each type of data.
- 632

633 SIRVs

634 In order to evaluate SIRVs, we will extract from each submission all transcript models that

associate to SIRV sequences after SQANTI3 analysis. This not only includes FSM and ISM

636 isoforms of SIRVs, but also NIC, NNC, antisense and fusion transcripts mapping to SIRV loci.

637 The metrics for SIRV evaluation are defined as follows.

638

639 **Table 3: Metrics and definitions for evaluation against SIRVs**

SIRV_transcripts	Transcripts mapping to a SIRV chromosome
Reference SIRV (rSIRV)	Ground truth SIRV model
True Positive detections (TP)	rSIRVs identified as RM
Partial True Positive detections (PTP)	rSIRVs identified as ISM or FSM_non_RM
False Negative (FN)	rSIRVs without FSM or ISM
False Positive (FP)	NIC + NNC + antisense + fusion SIRV_transcripts
Sensitivity	TP/rSIRVs
Precision	RM/SIRV_transcripts
Non_redundant Precision	TP/SIRV_transcripts
Positive Detection Rate	unique(TP+PTP)/rSIRVs
False Discovery Rate	(SIRV_transcripts - RM)/SIRV_transcripts
Redundancy	(FSM + ISM)/unique(TP+PTP)

640

641

642 Simulated Data

The simulated data contains both transcript models based on the current GENCODE annotation

and a number of simulated novel transcripts that will result in true NIC and NNC annotations.

- 645 Transcript models generated from simulated data will be analysed by SQANTI3 providing a GTF
- 646 file that includes all simulated transcripts (GENCODE and novel) and excludes all transcripts for
- 647 which reads were not simulated. The evaluation metrics for simulated data are defined as
- 648 follows:
- 649

650 **Table 4: Metrics and definitions for evaluation against simulated data**

Ρ	All simulated transcripts
True Positive (TP)	RM
TP_ref	RM to GENCODE models
TP_novel	RM to simulated novel transcript models
Partial True Positive (PTP)	ISM or FSM_non_RM
PTP_ref	ISM or FSM_non_RM of GENCODE models
PTP_novel	ISM or FSM_non_RM of simulated novel models
False Negative (FN)	Simulated transcripts without RM or PTP calls
FN_ref	Simulated GENCODE models without RM or PTP calls
FN_novel	Simulated novel models without RM or PTP calls
False Positive (FP)	NIC + NNC + antisense + fusion
Sensitivity	
Sens_ref	TP_ref/P(GENCODE)
Sens_novel	TP_novel/P(Simulated novel)
Precision	TP/(TP+PTP+FP)
Positive Detection Rate	(TP+PTP)/P
False Discovery Rate	(FP+PTP)/(TP+PTP+FP)
Redundancy	# FSM and ISM per simulated transcript model

651

652

653 Comprehensive GENCODE annotation

- 654 Submitted transcript models will be analyzed with SQANTI3 using the newly released
- 655 GENCODE annotation and different metrics will be obtained for FSM, ISM, NIC, NNC and Other
- 656 models according to the scheme depicted below. Transcripts from new genes included in the
- 657 latest annotation release will be catalogued as "Intergenic" initially, but considered FSM, ISM,
- 658 NIC or NNC with an updated GENCODE annotation. This will allow evaluation of gene and
- 659 transcript discovery on unannotated regions.
- 660

661 **Table 5: Metrics for evaluation against GENCODE annotation**

Metric	FSM	ISM	NIC	NNC	Others
Count	Х	Х	Х	х	Х
Reference Match (RM)	Х				
_3´_polyA_supported	Х	Х	х	х	
_5´_CAGE_supported	Х	Х	Х	х	
_3´_reference_supported	Х	Х	Х	х	
_5´_reference_supported	Х	Х	Х	х	
Supported Reference Transcript Model (SRTM)	Х	х			
Supported Novel Transcript Model (SNTM)			х	Х	
Distance (nts) to TSS/TTS of matched transcript	Х	Х			
Redundancy	Х	Х			
% Long Read Coverage (%LRC)	Х				
Longest Junction Chain		Х	х	Х	
Intron retention level		Х	х		
Illumina Splice Junction Support	Х	Х	Х	Х	Х
Full Illumina Splice Junction Support	Х	Х	Х	Х	Х
% Novel Junctions			Х	Х	

% Non-canonical junctions	Х	Х	Х	Х	Х
% Transcripts with non-canonical junctions	Х	Х	Х	Х	Х
Intra-priming	Х	Х	Х	Х	Х
RT-switching	Х	Х	Х	Х	Х
Number of exons	Х	Х	Х	Х	Х

662

663 High-confidence transcripts derived from LRGASP data (Positives P are the set of all high-

664 confidence transcripts)

Finally, a set of manually curated transcript models will be used to estimate sensitivity on real

data. Metrics that will be applied in this transcript set are: TP, PTP, FN, Sensitivity, Positive

- 667 Detection Rate, Redundancy and %LRC.
- 668
- 669
- 670 Challenge 2 Evaluation: Transcript isoform quantification

671 We will evaluate transcript isoform quantification performance with both simulated and real

672 sequencing data, which includes SIRV-Set 4. While the ground truth is known for the simulated

673 data and SIRV-Set4, we will experimentally quantify the abundances of transcript isoforms from

674 select loci (genes) within the LRGASP samples. Specifically, we will interrogate the presence of

675 specific transcript isoforms using qPCR measurements of isoform-specific regions, and will

obtain such data using an aliquot of the exact same RNA which was used to generate the

677 LRGASP datasets (human and mouse).

678

679 Evaluation metrics

- 680 We evaluate the quantification performance for different data scenarios (**Figure 3**):
- 681 1) Single sample data when the ground truth is available
- 682 2) Multiple replicates under two different conditions when the ground truth is available
- 683 3) Multiple replicates when ground truth is not available

684

The participants of the Challenge 2 can run these evaluations via submitting their quantification

results at the website <u>https://lrrna-seq-quantification.org/</u> that generates an interactive report in

the html and PDF formats (See **Data and code availability**).

688

689 Single sample data (ground truth is available)

690 We can evaluate how close the estimations and the ground truth values are by four metrics as691 follows.

692 Denote $\hat{\Theta} = (\hat{\theta}_1, \dots, \hat{\theta}_I)^T$ and $\Theta = (\theta_1, \dots, \theta_I)^T$ as the estimation and ground truth of the 693 abundance of *I* transcript isoforms in a sample, respectively. Then, four metrics can be 694 calculated by the following formulas.

- 695
- •Spearman Correlation Coefficient (SCC)

697 SCC evaluates the monotonic relationship between the estimation and the ground truth, which

- is based on the rank for transcript isoform abundance (**Supplementary Fig. S1**). It is calculated
- 699 by

$$SCC_{\Theta,\hat{\Theta}} = \frac{\operatorname{cov}\left(rg_{\Theta}, rg_{\hat{\Theta}}\right)}{s_{rg_{\Theta}} \cdot s_{rg_{\hat{\Theta}}}}$$

700

where rg_{Θ} and $rg_{\hat{\Theta}}$ are the ranks of Θ and $\hat{\Theta}$, respectively, and $cov(rg_{\Theta}, rg_{\hat{\Theta}})$ is the covariance of the corresponding ranks, $s_{rg_{\Theta}}$ and $s_{rg_{\hat{\Theta}}}$ are the sample standard deviations of rg_{Θ} and $rg_{\hat{\Theta}}$, respectively.

- •Abundance Recovery Rate (ARR)
- ARR is the percentage of the estimation over the ground truth, which is calculated by

$$ARR_i = \frac{\hat{\theta}_i}{\theta_i} \times 100\%, \ (i = 1, 2, \cdots, I)$$

706

An accurate abundance estimation should have an *ARR* value close to 100%.

708

•Median Relative Difference (*MRD*)

710 *MRD* is the median of the relative difference of abundance estimates among all transcript

711 isoforms within a sample, which is calculated by

$$MRD = median\left\{\frac{\left|\theta_{i} - \hat{\theta}_{i}\right|}{\theta_{i}}, \ (i = 1, 2, \cdots, I)\right\}$$

712

- A small *MRD* value indicates the good performance of abundance estimation.
- 714
- •Normalized Root Mean Square Error (*NRMSE*)
- 716 NRMSE provides a measure of the extent to which the one-to-one relationship deviates from a
- 717 linear pattern. It can be calculated by

$$NRMSE = \frac{\sqrt{\frac{1}{I}\sum_{i=1}^{I} \left(\theta_i - \hat{\theta}_i\right)^2}}{\frac{S\Theta}{2}}$$

- 719 where s_{Θ} is the sample standard deviation of Θ .
- 720 A good performance of abundance estimation should have a small value of *NRMSE*.
- 721

718

- In the case of LRGASP, the above metrics can be calculated with simulated data and SIRVs.
- 723
- 724 <u>Multiple replicates under two different conditions (ground truth is available)</u>
- Denote $\hat{\theta}_{ijk}$ and $\hat{\theta}_{ijk}$ as the estimation and ground truth of transcript isoform $i \ (i = 1, 2, \dots, I)$ in a sample, where $j \ (j = 1, 2)$ represents different groups (i.e., conditions or tissues) and $k \ (k = 1, 2, \dots, K)$ represents different replicates within the group j.
- 728

We assess the quantification performance by ROC (receiver operating characteristic) analysis
of identifying true differentially expressed transcript isoforms. At first, we define Average Log
Fold Change (*ALFC*) of transcript isoform *i* as:

$$ALFC_{i} = log\left(\frac{\frac{1}{K_{2}}\sum_{k_{2}=1}^{K_{2}}(\theta_{i2k_{2}}+1)}{\frac{1}{K_{1}}\sum_{k_{1}=1}^{K_{1}}(\theta_{i2k_{1}}+1)}\right)$$

 $F = \{i | |ALFC_i| < 1\}$

- 732 $(\overline{K_1} \bigtriangleup_{k_1=1}^{(U_{i2k_1}+1)})$ 733 Next, based on the ground truth values and a given threshold (e.g., 1 as below), we can define 734 whether a transcript isoform is truly differentially expressed or not:
- 735 Positives (truly differentially expressed)
- $T = \{i | |ALFC_i| \ge 1\}$
- 737 Negatives (not truly differentially expressed)
- 738

739 Based on the estimated values, we can also obtain the "predicted positives" and "predicted

negatives" with the same threshold. Therefore, we can identify "true positives", "true negatives",

⁷⁴¹ "false positives" and "false negatives" to calculate the ROC-based statistics, including precision,

recall, accuracy, F1-score, AUC and pAUC, and also plot ROC (Supplementary Fig. S2).

744 The above metrics will be used for SIRVs and a subset of isoforms whose abundances were 745 experimentally determined. In the case of SIRV sequencing, we would not expect fold change 746 differences in different conditions, as the SIRVs were spiked in at relatively the same 747 concentration in all samples. 748 749 Multiple replicates under different conditions (without the ground truth) 750 For multiple replicates under different conditions without the ground truth, we can still evaluate a

751 quantification method by the "goodness" of its statistical properties, including reproducibility,

consistency and resolution entropy that is also calculated for single sample data 752

753 (Supplementary Figs. S3-S5)

754

743

755 Reproducibility

756 The reproducibility statistic characterizes the average standard deviation of abundance

757 estimates among different replicates (Supplementary Fig. S3), which is calculated by

$$RM = \sqrt{\frac{1}{IJ}\sum_{i=1}^{I}\sum_{j=1}^{J}s_{ij}^2}$$

758

Here, s_{ij} is the sample standard deviation of $log\left(\widehat{\theta}_{ijk}+1\right)(k=1,2,\ldots,K)$, which is 759 760 calculated by

$$s_{ij} = \sqrt{\frac{1}{K} \sum_{k=1}^{K} \left(\log \left(\widehat{\theta}_{ijk} + 1 \right) - u_{ij} \right)^2},$$

761

$$u_{ij} = \frac{1}{K} \sum_{k=1}^{K} \log\left(\widehat{\theta}_{ijk} + 1\right).$$

763

- With a small value of this metric, the method has high reproducibility. We can also plot S_{ij}
- 764 765 versus average abundance u_{ij} to examine how standard deviation changes with respect to the
- 766 abundance and the area under the curve is calculated as a secondary statistic.
- 767

768 Consistency

- A good quantification method tends to have the consistency of characterizing abundance
- patterns in different replicates. Here, we propose a consistency measure $C(\alpha)$ to examine the
- similarity of abundance profiles between mutual pairs of replicates (Supplementary Fig. S4),
- which is defined as:

$$773 \qquad C\left(\alpha\right) = \frac{1}{IJ \cdot C_{K}^{2}} \sum_{i=1}^{I} \sum_{j=1}^{J} \sum_{1 \leq k_{1} < k_{2} \leq K}^{I} P\left(\left\{\log\left(\widehat{\theta}_{ijk_{1}}+1\right) < \alpha, \log\left(\widehat{\theta}_{ijk_{2}}+1\right) < \alpha\right\} \text{ or } \left\{\log\left(\widehat{\theta}_{ijk_{1}}+1\right) \geq \alpha, \log\left(\widehat{\theta}_{ijk_{2}}+1\right) \geq \alpha\right\}\right),$$

- where α is a customized threshold defining whether a transcript is expressed or not.
- 775
- •Resolution Entropy (*RE*)
- A good quantification method should have a high resolution of abundance values. For a given
- sample, a Resolution Entropy (*RE*) statistic characterizes the resolution of abundance
- 779 estimation (Supplementary Fig. S5):
- 780

$$RE = -\sum_{m=1}^{M} P_m In(P_m)$$
, where $P_m = \frac{n_m}{\sum_{j=1}^{M} n_j}$

- Here, the abundance estimates are binned into M groups, where n_m represents the number of transcript isoforms with the abundance estimate $\widehat{\Theta} \in [m \cdot \alpha, (m+1) \cdot \alpha)$, and $\alpha = max \left(\widehat{\Theta}\right) / M$. RE = 0 if all transcript isoforms have the same estimated abundance values, while it obtains a large value when the estimates are uniformly distributed among Mgroups.
- 786

787 Evaluation with respect to multiple transcript features

- 788 Quantification performance could be influenced by different transcript features, such as exon-
- isoform structure and the true abundance level. Thus, we also evaluate the quantification
- performance for different sets of genes/transcripts grouped by transcript features, including
- number of isoforms, number of exons, ground truth abundance values and a customized
- statistic K-value representing the complexity of exon-isoform structures.
- 793
- 794 K-value
- 795 Most methods for transcript isoform quantification assign sequencing coverage to isoforms;
- therefore, the exon-isoform structure of a gene is a key factor influencing quantification
- accuracy. Here, we use a statistic K-value (manuscript in preparation, **Supplementary Fig. S6**)
- to measure the complexity of exon-isoform structures for each gene. Suppose a gene of interest

 $\begin{array}{ll} \text{799} & \text{has } I \text{ transcript isoforms and } E \text{ exons, and define } A = \left(a_{ie}\right), \left(i=1,2,\cdots,I;e=1,2,\cdots,E\right) \\ \text{800} & \text{as the exon-isoform binary matrix, where} \\ & a_{ie} = \begin{cases} 1, \text{ if the isoform } i \text{ includes the exon } e \\ 0, \text{ otherwise} \end{cases} \\ \text{802} & \text{K-value is the condition number of the exon-isoform binary matrix } A, which is calculated by \\ & \text{K-value} = \frac{\sigma_{max}\left(A\right)}{\sigma_{min}\left(A\right)}, \\ \text{803} & \text{where } \sigma_{max}\left(A\right) \text{ and } \sigma_{min}\left(A\right) \text{ are the maximum and minimum singular values of the matrix } A, \end{array}$

805 respectively.

806

With genes binned by the complexity of their transcripts, we are also able to evaluate how often the rank of isoforms from highest to lowest abundance agree between different tools, regardless of a ground truth. In particular, we can evaluate how often the most abundant isoform (major isoform) has the same transcript structure as other methods and how this compares to the ground truth, if known. We would expect more variability in what is considered the major isoform of a gene that is correlated with an increased K-value.

813

814

815 Challenge 3 Evaluation: De novo transcript isoform detection without a high-quality genome

Challenge 3 will evaluate the applicability of IrRNA-seq for *de novo* delineation of transcriptomes in non-model organisms. The evaluation will assess the capacity of technologies and analysis pipelines for both defining accurate transcript models and for correctly identifying the complexity of expressed transcripts at genomic loci, when genome information is limited. We will evaluate two different scenarios: a) availability of a genome sequence but no gene annotation is

821 available, and b) no genome assembly is available at all.

822

The challenge includes three types of datasets. The mouse ES transcriptome data (**Table 1**) will be used to request the reconstruction of mouse transcripts without making use of the available genome or transcriptome resources for this species. Models will be compared to the true set of annotations with the same set of parameters as in Challenge 1. While this dataset allows for a quantitative evaluation of transcript predictions in Challenge 3, it might deliver unrealistic results if analysis pipelines were somehow biased by information derived from prior knowledge of the mouse genome. To avoid this problem, a second dataset is used that corresponds to the whole 830 blood transcriptome of the Floridian manatee (Trichechus matatus). An Illumina draft genome of 831 this organism exists (https://www.ncbi.nlm.nih.gov/assembly/GCF 000243295.1/) and the 832 LRGASP consortium has generated a long-read genome assembly to support transcript 833 predictions for this species. Additionally, Illumina data has been generated for this challenge 834 and an existing set of 454 transcriptome data will be used. Again, we will evaluate pipelines that 835 obtain transcript models without genome annotation but with these draft genome sequences, 836 and without genome assembly data at all. Since no curated gene models exist for the manatee, 837 Challenge 1 metrics cannot be applied. Instead, the evaluation of this dataset will involve 838 comparative assessment of the reconstructed transcriptomes and experimental validation. For 839 comparative assessment the following parameters will be calculated. 840 a. Total number of transcripts 841 b. Mapping rate of transcripts to the draft genomes (for pipelines not using genome data) 842 c. Length of the transcript models 843 d. % of transcripts with predicted coding potential 844 e. Does the pipeline provide gene/loci predictions? If yes, number of transcripts/loci 845 f. BUSCO completeness g. % transcripts with Blast2GO annotation. 846 847 h. % of junctions with Illumina coverage 848 i. % junctions and transcripts with non-canonical splicing 849 850 We expect that good-performing pipelines will obtain longer transcripts, well supported by 851 Illumina data, with high mapping rate to the draft genomes, most of them coding, and with 852 higher BUSCO completeness and Blast2GO annotation potential. 853 854 Finally, the manatee long reads data also contain spiked-in SIRVs, which will be used to 855 compute performance metrics for Challenge 3 analysis settings, using the same type of metrics 856 as described for Challenge 1. 857 858 We will compare metric statistics across analysis pipelines and sequencing platforms. A number 859 of genes will be selected for PCR-based experimental validation (see below), including 860 transcripts of cytokine genes, which have been studied by LRGASP consortium members in detail³². Ferrante et al.³² designed and validated primers to measure cytokine transcript levels in 861 862 Florida manatees from blood samples, specifically for interleukin (IL)-2, -6, -10, interferon-

- gamma (INF-gamma) and Tumor necrosis-alpha (TNF-alpha), and these methods will be
- adopted for development of isoform-specific assays.
- 865

866 Experimental validation of transcript models and expression estimates

867 Independent experimental validation will be performed to assess the accuracy of novel features

- and transcript isoforms characterized from the IrRNA-seq data from all challenges. In the
- 869 evaluation of full-length transcripts, several local and long-range elements must be considered.
- Local elements include the 5' end of the transcript, splice site, junctions, novel exons, retained
- 871 introns, and polyA sites. Long-range elements include chained series of junctions. We will
- 872 employ a suite of several assays in order to validate both the local and long-range elements.

873 Challenge 1 Evaluation: Transcript isoform detection

- The goal of this challenge is to assess the comprehensive and reliable detection of all
- transcripts in biological samples. Similar to past studies that have employed IrRNA-seq
- approaches towards characterizing the transcriptome, we expect that participants for this
- 877 challenge will produce a large number of novel isoforms. Therefore, the approaches to assess
- the accuracy of transcript isoforms that were previously described (e.g., SIRV standards,
- 879 GENCODE manual annotation) will be complemented with experimental validation.
- 880 We will employ several high-throughput sequencing-based assays to validate local elements,
- such as novel 5' ends, splice junctions, and polyA sites, on a "global" scale. Note that these
- 882 experimental assays have or will be carried out using the same aliquot of total RNA as was
- used to generate the LRGASP datasets, minimizing differences in detected features due to
- biological or inter-laboratory variability. To validate novel 5' ends, we will use a recently
- generated a deep coverage CAGE data on the WTC-11 line. To validate novel splice junctions,
- 886 we will also use Illumina RNA-seq to validate novel junctions and, wherever possible, exons or
- series of connected exons. To validate novel polyadenylation sites, we will collect polyA-seq
- data using the Quant-Seq method from Lexogen, which can map polyA sites *de novo*.
- Additionally, in select cases, novel 5' ends will be further corroborated through chromatin-based
- 890 functional information derived from ENCODE data, such as the presence of PollI or histone
- 891 marks that are indicative of active promoters.
- Longer-range features within a transcript, such as chains of junctions, are difficult and
 sometimes impossible to detect through short-read sequencing approaches or traditional qPCR;

894 therefore, we will employ targeted amplicon sequencing followed by ONT, PacBio, and Sanger 895 sequencing.

896 We plan to select 96 targets from human WTC-11 cells and 96 targets from the mouse

897 129/Casteneus cells. Each target will comprise a sequence region 300 to 1500 bp long. Two

898 replicates each from the WTC-11 and 129/Casteneus sample will be apportioned for a reverse-

899 transcriptase reaction followed by target amplification using isoform-specific primers. We will

900 conduct the assay in plate format to allow for high-throughput processing. All products following

901 RT-PCR will be pooled and subjected to long-read sequencing for validation. A subset of these 902 samples will be selected for Sanger sequencing. Table 6 shows the breakdown of targets we

903 will select.

904	
-----	--

Category	WTC-11 (Human)	129/Casteneus (Mouse)
Positive control	12	12
Negative control	12	12
Novel – detected in all platforms	12	12
ONT-specific	12	12
PacBio-specific	12	12
Miscellaneous category (e.g., bioinformatic pipeline-specific, intron retention, template switch artifact prediction, non-canonical splicing)	24	24

905

Table 6: Plan for targeted amplicon sequencing to validate novel junction chains in the 906 LRGASP submissions.

907

908 Positive controls will be selected as subsegments of isoforms which are found in GENCODE

909 human v39 and mouse vM28, all long-read datasets across the ONT and PacBio platforms, and

910 a majority (>50%) of the computational pipelines. Negative controls will also be selected, which

- 911 would involve isoforms that are detected in other human and mouse cell types (e.g., pancreas
- cells), but for which there is no evidence of expression across any of the long-read datasets in
- 913 LRGASP.
- An open question in the field is the accuracy of novel isoforms that are frequently detected on
- 915 long-read platforms, and so we will devote substantial effort towards validation of novel
- 916 isoforms. At least 12 targets will involve junction chains that are novel (not in GENCODE) but
- 917 found across all IrRNA-seq library types. We also reserve resources to validate platform-specific
- 918 isoforms, in case they should arise. And, lastly, we reserve at least 24 targets for miscellaneous
- categories, such as if there is the appearance of certain isoforms in specific computationalpipelines.
- 921 For novel target selection, preference will be given to select targets that correspond to the pre-
- 922 selected 50 loci that will be manually annotated by GENCODE, and there will be close
- 923 coordination between the working groups.
- In addition to the validation using a PCR-based approach (Table 6), high-throughput validation
 of full-length transcripts will be obtained by application of the NRCeq strategy²⁵ on WTC-11
 cells, which does not rely on PCR. NRCeq employs a chemical labeling strategy to add a
 signature oligonucleotide exclusively to the 5' caps of mRNAs, thus, full-length mRNA
 sequences from the 5' cap to the polyA sequence may be distinguished from incomplete
- 929 sequence fragments. We will compare NRCeq data generated WTC-11 against models
- 930 submitted by participants.
- 931 Challenge 2 Evaluation: Transcript isoform quantification
- Challenge 2 involves the prediction of fold change in abundance at the gene and transcript
 isoform-level. For this purpose, the H1:H1-DE cell line mix will be compared to WTC11 cell line.
 H1 and WTC-11, both being stem cell lines, are expected to have similar expression patterns,
 but the H1:H1-DE mix would have gene and isoform expression more related to the definitive
 endoderm phenotype. To experimentally validate abundance changes, we will employ qPCR
 among isoforms of a gene which under altered expression as well as sequencing data on
 sample components before mixing.
- 939
- 940 qPCR of 10-20 transcript models will be performed. Due to the difficulty of properly resolving
- and apportioning signals for short junctions or exons to the full-length transcript isoforms they
- 942 arose from, we will choose isoforms with low and high K-values, representing various levels of

- 943 identifiability. In some cases, we will increase the length of qPCR targets up to the 500-600 bp
- 944 ranges so as to increase the resolution and specificity of isoform measurements. Internal
- 945 standards will be spiked in for highest accuracy and precision of isoform abundance
- 946 estimates.Targeted amplicon sequencing with long-read platforms will also be performed on
- 947 these transcript models to determine fold-change differences.
- 948 Due to the challenges of isoform-level quantification and the lack of a gold standard, we devised
- a mixture sample, in which an undisclosed ratio of two samples is mixed before sequencing. For
 validation, we sequenced H1 and H1-DE samples individually to establish the isoforms present
- validation, we sequenced H1 and H1-DE samples individually to establish the isoforms presentin only one or the other sample before mixing. In essence, the pre-mixed sample represents the
- 952 "ground truth" of isoform expression before the mix. After the close of LRGASP submissions.
- 953 the H1 and H1-DE long-read data will be released. Participants of Challenge 2, will need to
- 954 provide transcript quantification from these additional datasets. Libraries and computational
- 955 pipelines can then be evaluated based on how well the transcript quantification in the H1:H1-DE
- 956 mix sample represents the expected ratios determined from quantification from the individual957 cell lines.
- 958 Challenge 3 Evaluation: De-novo transcript isoform detection without a high-quality genome
- 959 Similarly to Challenge 1, the primary goal of experimental validation in this challenge is to 960 confirm the identity of *de novo* assembled isoforms, of which many will be novel.
- 961 A number of loci from well-studied immune-related genes will be selected for experimental PCR
 962 validation as in the mouse/human data.
- 963 To validate isoforms containing novel junction chains, we will employ a similar amplicon
- 964 sequencing strategy as described in Challenge 1, in which up to 96 primer pairs will be used to
- 965 amplify isoform-specific regions for subsequent detection on a sequencing platform.
- 966 In addition, there exists 454 sequencing data from these same samples which can also be967 leveraged for orthogonal validation.

968 Challenge submissions and timeline

- 969 Participants will submit challenge predictions on Synapse
- 970 (https://www.synapse.org/#!Synapse:syn25007472).
- 971
- 972 The following is an overview of the data used for each challenge and the result files that will be
- 973 submitted (Supplementary Figure S7).

974	•	Challenge 1: transcript isoform detection with a high-quality genome (iso_detect_ref)
975		 Samples
976		 WTC11 (human iPSC cell line)
977		 H1_mix (human H1 ES cell line mixed with human Definitive Endoderm
978		derived from H1)
979		 ES (mouse ES cell line)
980		 human_simulation - simulated human reads (Illumina, ONT, and PacBio
981		cDNA)
982		 mouse_simulation - simulated mouse reads (Illumina and PacBio cDNA,
983		ONT dRNA)
984		• Result files:
985		models.gtf.gz
986		read_model_map.tsv.gz
987	•	Challenge 2: transcript isoform quantification (iso_quant)
988		 Samples
989		 WTC11 (human iPSC cell line)
990		 H1_mix (human H1 ES cell line mixed with human Definitive Endoderm
991		derived from H1)
992		 human_simulation - simulated human reads (Illumina, ONT, and PacBio
993		cDNA)
994		 mouse_simulation - simulated mouse reads (Illumina and PacBio cDNA,
995		ONT dRNA)
996		 Result files:
997		expression.tsv.gz
998		models.gtf.gz
999	•	Challenge 3: de novo transcript isoform detection (iso_detect_de_novo)
1000		 Samples
1001		 Manatee (manatee whole blood)
1002		 ES (mouse ES cell line)
1003		• Result files:
1004		■ rna.fasta.gz
1005		read_model_map.tsv.gz

A submission to a challenge is an entry, consisting of one or more experiments. Each entrymust meet the following requirements:

1008

1009 Requirements for Challenge 1 and 2

- 1010 At least one experiment must be supplied for each sample available for a given challenge.
- 1011 Human and mouse samples will have biological replicates that should be used for the entry.
- 1012

1013 A major goal of LRGASP is to assess the capabilities of long-read sequencing for transcriptome

1014 analysis and also how much improvement there is over short-read methods. Additionally, long-

1015 read computational pipelines vary in their use of only long-read data or if they incorporate

1016 additional data for transcript analysis. To facilitate comparisons between long-read and short-

read methods and variation in tool parameters, we break down submissions into differentcategories:

- long-only Use only LGRASP-provided long-read RNA-Seq data from a single sample,
 library preparation method and sequencing platform.
- short-only Use only LGRASP-provided short-read Illumina RNA-Seq data from a single
 sample. This is to compare with long-read approaches
- long and short Use only LGRASP-provided long-read and short-read RNA-Seq data
 from a single long-read library preparation method and the Illumina platform. Additional
 accessioned data in public genomics data repositories can also be used.
- kitchen sink Any combination of at least one LRGASP data set as well as any other
 accessioned data in public genomics data repositories. For example, multiple library
 methods can be combined (e.g. PacBio cDNA + PacBio CapTrap, ONT cDNA + ONT
 CapTrap+ ONT R2C2+ ONT dRNA, all data, etc.).
- 1030

1031 In all the above categories, the genome and transcriptome references specified by LRGASP
1032 should be used. For the long and short and kitchen sink category, additional transcriptome
1033 references can be used.

1034

All replicates must be used in each experiment. Challenge 2 must report replicates separately inthe expression matrix. Each team can only submit one entry per category.

1037

For Challenge 1, the submitted GTF file should only contain transcripts that have been assigneda read. For Challenge 2, submitters have the option of quantifying against the reference

- transcriptome or a transcriptome derived from the data (i.e., results from Challenge 1). The GTFused for quantification is included as part of the Challenge 2 submission.
- 1042

1043 The type of platform and libraries preparation method used in a given experiment, except for 1044 kitchen sink experiments, is limited to data from a single library preparation method plus 1045 sequencing technology (long-only). LRGASP Illumina short-read data of the same sample may 1046 optionally be used in an experiment with the LRGASP long-read data (long and short) 1047 Illumina cDNA - short-only 1048 Pacbio cDNA - long-only or long and short 1049 Pacbio CapTrap - long-only or long and short 1050 • ONT cDNA - long-only or long and short

- 1051 ONT CapTrap long-only or long and short
- ONT R2C2 long-only or long and short
- ONT dRNA long-only or long and short
- 1054
- 1055 Requirements for Challenge 3

At least one experiment must be supplied for each sample available for the challenge. Mousesamples will have biological replicates that should be used for the entry.

- For similar reasons as described above, the data used for a given experiment must fit in one ofthe following categories:
- long-only Use only LGRASP-provided long-read RNA-Seq data from a single sample,
 library preparation method and sequencing platform. No genome reference can be used.
- short-only Use only LGRASP-provided short-read Illumina RNA-Seq data from a single
 sample. This is to compare with long-read approaches. No genome reference can be
 used.
- long and short Use only LGRASP-provided long-read and short-read RNA-Seq data
 from a single long-read library preparation method and the Illumina platform. No genome
 reference can be used.
- long and genome Use only LGRASP-provided long-read RNA-Seq data from a single
 long-read library preparation method. A genome reference sequence can be used.
- kitchen sink Any combination of at least one LRGASP data set as well as any other
 accessioned data in public genomics data repositories. For example, multiple library
 methods can be combined (e.g. PacBio cDNA + PacBio CapTrap, ONT cDNA + ONT
 CapTrap+ ONT R2C2+ ONT dRNA, all data, etc.).

1074

- 1075 In all the above categories, except for kitchen sink a transcriptome reference cannot be used.
- 1076 The submitted FASTA file should only contain transcripts that have been assigned a read.
- 1077 Each team can only submit one entry per category.
- 1078

1079 LRGASP biological data is currently available at the ENCODE DCC

- 1080 (https://www.encodeproject.org/search/?type=Experiment&internal_tags=LRGASP). The
- 1081 simulated data is available from Synapse (<u>https://www.synapse.org/#!Synapse:syn25683370</u>).
- 1082 The competition launched on May 1, 2021 and challenge submissions are expected to close on

1083 October 1, 2021.

1084

1099

1101

1085 LRGASP Data QC

- 1086 Initial quality control (QC) metrics were determined for the LRGASP data (**Figure 4**). Reads
- 1087 (ONT cDNA, dRNA, CapTrap) or consensus reads (PacBio cDNA and CapTrap and ONT
- 1088 R2C2) were aligned to the human or mouse genome as appropriate using minimap2 with the
- 1089 following parameters: -ax splice --secondary=no -G 400k. For each data type, the reads and
- 1090 their resulting alignments in sam format were parsed for the following parameters:
- 1091 1) Number of aligned reads
- 1092 2) Number of aligned reads with adapters on both ends
- 1093For ONT dRNA this is not applicable as this workflow does not attach an adapter1094to the 5' end of molecules. For ONT cDNA and CapTrap this percentage was1095determined by pyChopper. For all other data types, all provided reads are1096assumed to have adapters on both ends as the pre-processing pipelines (lima1097and C3POa) discard reads otherwise.
- 1098 3) median read length
 - measured by the number of aligned bases (matches or mismatches)
- 1100 4) median accuracy
 - measured by matches/(matches+mismatches+indels)),
- 1102 5) Percent of aligned reads where the orientation of the reads as determined by 5' and 3'
 1103 adapter sequences agrees with the direction of the read alignment
- 1104 determined by minimap2 through splice site context (calculated only for the
- 1105 subset of reads with splice alignments with the ts:A: flag in their sam entry),
- 1106 6) Percent of reads originating from spike-in molecules

- 1107 determined by alignment to the SIRVomeERCC fasta entry in the genome
- 1108 sequence files
- 1109 7) Pearson correlation between replicates
- 1110determined by quantifying gene expression for each replicate and calculating the1111pearson r value based on those expression values.

1114 Table 7: Summary statistics for LRGASP data. For each sample, replicates were combined

- 1115 when reporting statistics.

Sample	ES								
Method	dRNA	cDNA	R2C2	CapTrap	CapTrap	cDNA			
Tech	ONT	ONT	ONT	ONT	PacBio	PacBio			
Platform	MinION	MinION	MinION	MinION	SequellI	SequellI			
# of Flowcells/SMRT cells	3	3	6	3	3	9			
# of raw reads	4,325,200	59,746,818	7,862,883 ¹	56,684,765	9,689,619	23,487,808			
# of supplied reads	3,975,725	57,055,583	5,930,487	50,697,997	5,090,848	8,733,814			
# of aligned reads	3,836,020	44,873,564	5,914,779	49,741,194	5,028,403	8,199,908			
# of aligned reads with									
adapters	N/A	40,190,805	5,914,779	32,206,495	5,028,403	8,199,908			
Median Read length	830	519	1,755	591	903	2,090			
Median Identity (Q score)	9.8	12.7	18.6	12.3	21.3	20.9			
% Directionality	99.54	98.59	99.74	94.66	99.88	99.55			
% of spike-in reads	0.71	1.02	2.03	2.41	1.77	1.85			
Pearson r2 (gene level)	0.99	0.99	0.98	0.99	0.98	0.97			
R2C2 libraries for FS and WTC11 libraries were multiplexed and raw reads cannot be demultiplexed directly. Raw read numbers for these									

¹R2C2 libraries for ES and WTC11 libraries were multiplexed and raw reads cannot be demultiplexed directly. Raw read numbers for these libraries are therefore calculated based on the ES/WTC11 ratio of demultiplexed supplied consensus reads and total number of subreads.

Sample	WTC11					
Method	dRNA	cDNA	R2C2	CapTrap	CapTrap	cDNA
Tech	ONT	ONT	ONT	ONT	PacBio	PacBio
Platform	MinION	MinION	MinION	MinION	SequellI	SequellI
# of Flowcells/SMRT cells	3	3	6	3	3	9
# of raw reads	3,229,571	53,463,774	6,994,789 ¹	56,730,485	13,463,712	28,567,150
# of supplied reads	2,988,430	51,194,535	5,275,737	50,902,303	6,399,632	7,424,923
# of aligned reads	2,931,482	43,085,527	5,271,334	49,930,350	6,304,610	7,373,147
# of aligned reads with adapters	N/A	37,275,068	5,271,334	31,348,191	6,304,610	7,373,147
Median Read length	854	610	1,802	564	864	2,209
Median Identity (Q score)	9.8	12.9	19.3	12.9	22.5	23.8
% Directionality	99.76	99.11	99.92	96.28	99.92	99.67
% of spike-in reads	0.6	1.45	2.27	2.79	2.26	2.25
Pearson r2 (gene level)	0.92	0.96	0.94	0.99	0.96	0.90

¹R2C2 libraries for ES and WTC11 libraries were multiplexed and raw reads cannot be demultiplexed directly. Raw read numbers for these libraries are therefore calculated based on the ES/WTC11 ratio of demultiplexed supplied consensus reads and total number of subreads.

		•					
Sample	H1_mix						
Method	dRNA	cDNA	R2C2	CapTrap	CapTrap	cDNA	
Tech	ONT	ONT	ONT	ONT	PacBio	PacBio	
Platform	MinION	MinION	MinION	MinION	SequellI	SequellI	
# of Flowcells/SMRT cells	3	3	6	3	3	6	
# raw reads	4,223,164	55,927,828	7,093,671	54,055,468	10,534,880	24,290,762	
# of supplied reads	3,969,603	52,927,595	5,231,255	49,883,469	5,511,853	5,511,357	
# of aligned reads	3,905,742	43,026,016	5,229,686	48,424,901	5,436,170	5,480,635	
# of aligned reads with adapters	N/A	36,653,422	5,229,686	28,099,080	5,436,170	5,480,635	
Median Read length	891	619	1,782	604	1,036	2,376	
Median Identity (Q score)	10.0	12	18.7	12.4	24.3	23.7	
% Directionality	99.8	99.19	99.74	76.15 ¹	99.91	99.63	
% of spike-in reads	0.77	1.5	1.69	1.59	1.33	1.97	
Pearson r2 (gene-level)	0.99	0.997	0.98	0.96	0.98	0.98	
¹ Replicate 3 of the H1_mix sample appears to be an outlier among the CapTrap ONT library type. Replicates 1 and 2 show % directionality ~95% similar to what is observed in the other samples for this library type.							

Sample	Manatee	Manatee
Method	cDNA	cDNA
Tech	ONT	PacBio
Platform	MinION	Sequel I + Sequel II
# of Flowcells/SMRT cells	3	1+3
# of supplied reads	40,948,571	6,883,684
# of aligned reads	32,833,840	6,877,181
# of aligned reads with adapters	27,381,394	6,877,181
Median Read length	540	894
Median Accuracy (Q score)	12.5	25.2
% Directionality	97.2	99.76
% of spike-in reads	14.05*	33.78*
*spike-in percentage is higher than expected		

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1137 Data and code availability

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 All code and documentation associated with the LRGASP Consortium can be found through

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 https://www.gencodegenes.org/pages/LRGASP/ and https://github.com/LRGASP.

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1163 Competing Interests

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Fig. 1: Overview of the Long-read RNA-seq Genome Annotation Assessment Project (LRGASP). a, LRGASP Consortium as a research community effort. **b**, Overview of LRGASP data.



Fig. 2: SQANTI-based evaluation of transcript identification methods for Challenges 1 and 3. a,

Transcripts are compared to a best matched reference transcript and categorized based on shared junctions between the reference. **b**, Additional features that are considered when evaluating transcript models



Fig. 3: Evaluation metrics of gene isoform quantification under different data types. RE - Resolution Entropy, ARR - Abundance Recovery Rate, MRD - Median Relative Difference, NRMSE - Normalized Root Mean Square Error



Supplementary Files

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